



Beneficiary Designation

INSTRUCTIONS

LEVEL 3

To designate a beneficiary or to change your existing beneficiary designation on your plan, complete all applicable sections of this form, obtain any required signatures, and return it to Transamerica at 6400 C Street SW, Cedar Rapids, IA 52499 or fax to 866-835-8863. If you have any questions regarding this form, please contact us at 800-755-5801.

PLAN SPONSOR INFORMATION

Plan Name	Retirement Plan of Saratoga Hospital				
Contract/Account No.	TT069473	Affiliate No.	00001	Division No.	

PERSONAL INFORMATION

Social Security No.		Date of Birth (mm/dd/yyyy)			
First Name/Middle Initial		Last Name			
Mailing Address					
City		State		Zip Code	
Phone No.		Ext.			
E-mail Address					

MARITAL STATUS

Complete this section to confirm or change your marital status on file for this plan.

Marital Status [] Married [] Single/Divorced

Please note that for purposes of indicating your marital status under the plan, both the IRS and DOL have indicated that a domestic partnership or a civil union would be considered 'single'.



PRIMARY BENEFICIARY DESIGNATION - WILL RECEIVE BENEFITS IN THE EVENT OF YOUR DEATH

This designation will apply to the account number above. You must designate a specific percentage for each beneficiary. Shares must be whole percentages and total 100%. If you do not indicate shares, benefits will be split equally among surviving beneficiaries. If the named beneficiary is a trust, please specify the name and date of the trust under Entity Name and also provide the name of the Trustee.

Note: Share of benefits must total 100% for primary beneficiaries. If additional space is needed to designate multiple beneficiaries, complete the Supplemental Beneficiary Designation page.

Type of Beneficiary Designation ☐ Individual ☐ Entity

Share of Benefits

% (whole percentages only)

Relationship

Social Security No.

Date of Birth

(mm/dd/yyyy)

First Name/Middle Initial

Last Name

Entity Name

Trustee/Executor

Entity Tax ID

Effective Date

Mailing Address

City

State

Zip Code

PRIMARY BENEFICIARY DESIGNATION (CONTINUED)

Type of Beneficiary Designation ☐ Individual ☐ Entity

Share of Benefits

% (whole percentages only)

Relationship

Social Security No.

Date of Birth

(mm/dd/yyyy)

First Name/Middle Initial

Last Name

Entity Name

Trustee/Executor

Entity Tax ID

Effective Date

Mailing Address

City

State

Zip Code



CONTINGENT BENEFICIARY - WILL RECEIVE BENEFITS IF NO PRIMARY BENEFICIARY IS LIVING AT THE TIME OF YOUR DEATH

Note: Share of benefits must total 100% for contingent beneficiaries. If additional space is needed to designate multiple beneficiaries, complete the Supplemental Beneficiary Designation page.

Type of Beneficiary Designation ☐ Individual ☐ EntityShare of Benefits % (whole percentages only)Relationship Social Security No. Date of Birth
(mm/dd/yyyy) First Name/Middle Initial Last Name Entity Name Trustee/Executor Entity Tax ID Effective Date Mailing Address City State Zip Code **CONTINGENT BENEFICIARY DESIGNATION (CONTINUED)**Type of Beneficiary Designation ☐ Individual ☐ EntityShare of Benefits % (whole percentages only)Relationship Social Security No. Date of Birth
(mm/dd/yyyy) First Name/Middle Initial Last Name Entity Name Trustee/Executor Entity Tax ID Effective Date Mailing Address City State Zip Code 

SPOUSAL CONSENT (IF SPOUSE IS NOT 100% PRIMARY BENEFICIARY)

I consent to my spouse's designation of the beneficiary. I understand that this means all or a portion of my spouse's death benefit will be paid to the beneficiary(ies) named in this designation other than me. I further understand that this beneficiary designation is not valid without my consent, and that my consent would be needed again if my spouse wishes to change this beneficiary designation.

X _____
Spouse Signature

X _____
Date

WITNESSED

X _____
Notary Public Signature and Stamp/Seal

X _____
Date

PARTICIPANT SIGNATURE

I hereby warrant that all of the statements and information contained in this request/form (including my current marital status) are true in all respects. I understand that if I have made any false or misleading statements in this request that such statements could result in significant tax consequences and/or other monetary damages to the Plan, my Plan Sponsor and Transamerica. Moreover, I hereby agree to indemnify and hold (a) the Plan, (b) Transamerica, and (c) my Plan Sponsor harmless from any tax consequences and/or other monetary damages that may result in whole or in part from my false and misleading statements I certify that the information provided on this form is correct and complete.

X _____
Participant Signature

X _____
Date

X _____
Print Name

X _____
Social Security Number

APPROVAL

This beneficiary designation request is subject to approval by Transamerica.



Supplemental Beneficiary Designations

Social Security No.

First Name/Middle Initial

Last Name

Note: Share of benefits must total 100% for primary beneficiaries (will receive benefits in the event of your death) AND 100% for contingent beneficiaries (will receive benefits if no primary beneficiary is living at the time of your death).

☐ Primary Beneficiary ☐ Contingent Beneficiary

Type of Beneficiary Designation ☐ Individual ☐ Entity

Share of Benefits

% (whole percentages only)

Relationship

Social Security No.

Date of Birth

(mm/dd/yyyy)

First Name/Middle Initial

Last Name

Entity Name

Trustee/Executor

Entity Tax ID

Effective Date

Mailing Address

City

State

Zip Code

☐ Primary Beneficiary ☐ Contingent Beneficiary

Type of Beneficiary Designation ☐ Individual ☐ Entity

Share of Benefits

% (whole percentages only)

Relationship

Social Security No.

Date of Birth

(mm/dd/yyyy)

First Name/Middle Initial

Last Name

Entity Name

Trustee/Executor

Entity Tax ID

Effective Date

Mailing Address

City

State

Zip Code

